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2 **Factors influencing the skill of synthesized satellite wind products in the tropical Pacific.**

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1 **Abstract**

2 Given the importance of tropical Pacific winds to global climate, it is interesting to examine
3 differences in the mean and trend among various wind products, and their implications for ocean
4 circulation. Past analysis has revealed that despite the assimilation of observational data, there
5 remain large differences among reanalysis products. Thus, here we examine if satellite-based
6 synthesis products may provide more consistent estimate than reanalysis. Reanalysis product winds
7 are, however, typically used as a background constraint in constructing the synthesis products to fill
8 spatiotemporal gaps and to deal with satellite wind direction ambiguity. Our study identified two
9 important factors that influence both the mean and trends from synthesized wind products. Firstly,
10 the choice of background wind product in synthesised satellite wind products affects the mean and
11 long-term trends, which has implications for simulations of ocean circulation, sea level, and
12 presumably SST. Secondly, we identify a clear need for developing a better understanding of, and
13 correcting differences between in-situ observations of absolute winds with the satellite-derived
14 relative winds prior to synthesizing. This correction requires careful analysis of satellite surface
15 winds with existing co-located in-situ measurements of surface winds and currents, and will benefit
16 from near surface current observations of the proposed Tropical Pacific Observing System. These
17 results also illustrate the difficulty in independently evaluating the synthesis wind products because
18 the in-situ data have been utilised at numerous steps during their development. Addressing these
19 identified issues effectively, will require enhanced collaborations among the wind observation (both
20 satellite and in-situ), reanalysis, and synthesis communities.

21

22 **1. Introduction**

23 The primary motivation for this study is to provide timely information for the development of the
24 Tropical Pacific Observing System (TPOS) by understanding what factors are important in
25 determining the long term mean and linear trend in synthesised satellite wind products.

26 Observations of ocean surface winds are important for understanding many oceanic and
27 atmospheric processes, including ocean circulation changes, regional changes in sea surface height

1 and air-sea fluxes of heat, moisture momentum etc. [e.g., McPhaden et al. 1998; Timmerman et al.
2 2010; Sen Gupta et al. 2012; 2016]. In the tropical Pacific, ocean surface wind observations are
3 critical for understanding the initiation and development of El Niño-Southern Oscillation (ENSO), a
4 dominant mode of interannual climate variability [McPhaden et al. 2006].

5
6 Changes in the Pacific Trade winds have had dramatic regional and global impacts over recent
7 decades, associated with a rapid and unprecedented (in the relatively short observational record)
8 strengthening since the early 1990's [e.g., England et al. 2014]. This change has been related to: i)
9 the recent hiatus in global surface warming [England et al. 2014; Kosaka and Xie 2013]; ii) the
10 ongoing drought in California [McGregor et al. 2014]; iii) the rapid increase in western tropical
11 Pacific sea level, with a trend three times greater than the global mean [Merrifield et al. 2011;
12 Timmermann et al. 2010], and iv) a strengthening of the equatorial under current [Amaya et al.
13 2015]. As such, high-quality observations of ocean surface winds across various times scales (e.g.,
14 from diurnal to decadal) in the tropical Pacific are critical for understanding the current state of the
15 tropical Pacific and changes to the global climate.

16
17 Of primary importance for accurate and sustained regional observations has been the tropical
18 moored array, for which initial deployment began in the 1984 through the Tropical Atmosphere
19 Ocean (TAO) array [McPhaden et al. 1998]. Array installation was completed in 1994, with the
20 final configuration of the tropical Pacific Ocean network consisting of approximately 70 moored
21 buoys [McPhaden et al. 2010]. In 2000, TAO was renamed TAO/TRITON in recognition of
22 contributions from Japan to maintain the western portion of the array with TRITON moorings
23 [Ando et al. 2005; McPhaden et al. 2010]. The resultant TAO/TRITON array network has a
24 relatively coarse grid structure spanning the tropical Pacific and provides near real-time, high
25 temporal resolution measurements of a suite of oceanic and atmospheric parameters. These
26 measurements have been essential for the development of ENSO theory and seasonal forecast
27 systems [e.g., McPhaden et al. 1998]. While TAO/TRITON measurements have been invaluable in

1 these regards, the system's relatively coarse structure has not generally allowed for the direct use of
2 its data as forcing for ocean models and has also led to questions about the way its data were
3 assimilated to generate reanalysis products [e.g., Josey et al. 2014].

4
5 TAO/TRITON is not the only platform to measure surface winds in the tropical Pacific, as many
6 satellite sensors have been observing surface winds over the global ocean since July 1987 for wind
7 speed and since 1991 for vector winds [Yu and Jin 2012]. Satellites infer surface stress from
8 scatterometer measurements of small-scale surface roughness [e.g., Chelton and Freilich 2005]. The
9 inferred surface stress is then translated to equivalent 10m wind (by assuming that the atmospheric
10 boundary layer is neutrally stratified) [e.g., Chelton and Freilich 2005]. Satellite wind sensors have
11 enabled the capabilities for broad-scale coverage and for estimating wind (stress) curl and
12 divergence at scales not afforded by in-situ arrays. Wind measurements from satellites exhibit
13 considerable skill in reproducing the in-situ wind observations [e.g., Mears et al. 2001; Kunkee et
14 al., 2008]. However, it is important to note that the satellite-derived data represents surface wind
15 estimates relative to the moving ocean surface [e.g., Kelly et al. 2001]. This can have a significant
16 impact on wind speeds in the tropical oceans where surface currents can be of comparable
17 magnitude to the surface winds. The quality of satellite wind retrievals from some sensors (e.g., the
18 Ku-band sensors) is sensitive to rain, with an increased rain rate related to decreased accuracy [e.g.,
19 Atlas et al. 2011; Yu and Jin 2012] and even spurious spatial derivatives like wind stress curl [e.g.,
20 Milliff et al. 2004; O'Neill et al. 2015; Kilpatrick and Xie 2015].

21
22 A third estimate of ocean surface wind comes from atmospheric reanalysis products such as the
23 ERA-interim reanalysis of Dee et al. 2011. Each of these reanalysis products assimilates a set of
24 observational products, including TAO/TRITON and satellite-derived observations (input data may
25 differ between products), into a dynamical model to provide a gridded, spatially complete product.
26 Such reanalysis are often used as an alternative to observations for analysis or the forcing of ocean
27 models due to the complete space-time coverage and uniform resolutions. However, despite

1 assimilating observational data, there are some large differences between the various reanalysis
2 products that are especially pronounced when looking at trends and means over the last few decades
3 [Wittenberg 2004; McGregor et al. 2012; Lee et al. 2013].

4
5 Given these discrepancies around long-term trends and means in reanalysis products, it is
6 interesting to consider if synthesized satellite observations from various missions may provide a
7 more consistent and reliable estimate. The synthesis of wind observations from different satellites
8 involves a number of significant challenges [Atlas et al. 2011; Yu and Jin 2012]. Firstly, an
9 individual satellite wind sensor has limited spatiotemporal coverage. For example, a QuikSCAT-
10 like satellite covered approximately 60% (90%) of the global ocean twice daily (daily) [Lee et al.
11 2008]. Multiple satellites can improve the coverage, but full coverage is still not possible at the 6-
12 hourly resolution, a time interval over which atmospheric reanalysis provide wind estimates. As
13 such, in order to obtain the full spatial coverage, these synthesised satellite wind products require
14 some form of background wind to fill missing data gaps where observations are not available.
15 Background wind products are generally one of the analysis/reanalysis wind products, which given
16 the across-product differences highlighted by Wittenberg [2004] and McGregor et al. [2012] raises
17 questions over their influence in the mean and longer term trends of these synthesized products.
18 Reanalysis (background) wind products are also used to determine wind direction when there is
19 directional ambiguity in vector wind retrieval from satellite scatterometers or when directional
20 information is not available from satellites (e.g., for passive microwave radiometers). Another
21 important issue in synthesis of satellite winds is the partial sampling of different parts of the diurnal
22 cycle by different sun-synchronous satellites that have different local equatorial crossing times. The
23 only exception is the short-term RapidScat measurements currently taking place on the International
24 Space Station (ISS-RapidScat) that was launched in July 2014. The non-sun-synchronous ISS-
25 RapidScat provides the capability to de-alias diurnal variability by cross-calibrating measurements
26 from different sun-synchronous satellites. However, existing synthesis efforts of satellite winds

1 typically use hourly measurements from buoys such as those from the TAO/TRITON array for that
2 purpose.

3
4 In this manuscript we examine and contrast the long term mean and linear trend in two synthesized
5 multi-satellite observed surface wind products. In particular, we examine the two existing versions
6 of the synthesised Cross Calibrated-Multi Sensor (CCMP) data sets [Atlas et al. 2011]. Although
7 the OAflux project also produced a synthesized wind product [Yu and Jin 2012], it was not freely
8 available at the time of research. The length of the CCMP products (1987 onward) makes these
9 products useful for examining multi-decadal changes. As discussed above, the primary motivation
10 for this study is to provide useful information to facilitate the future development of the TPOS. In
11 particular, we analyse the impacts of: i) surface currents on the mean CCMP and TAO/TRITON
12 bias; ii) merging satellite observed relative winds with TAO/TRITON observed absolute winds in
13 CCMP; and iii) background product winds on the mean and longer term trend of the different
14 CCMP versions, as the two versions utilise different background wind products. Perhaps the most
15 important aspect of this paper is our demonstration of the difficulty evaluating the realism/skill of
16 the synthesized winds is a lack of independent in-situ observations to compare against. This
17 difficulty arises as the in-situ data have been assimilated at numerous stages during the generation
18 (i.e., producing the synthesis product background winds and directly in their generation) of the
19 CCMP synthesized satellite wind products. This highlights the need for sensitivity experiments
20 withholding the in-situ data in reanalysis and synthesis surface winds to better understand their true
21 value prior to any proposed observing system changes. This paper is organised as follows. Section 2
22 details the data sets utilised in this study, while Section 3 details the methods utilised in this study.
23 Results are presented in Section 4 and a discussion and conclusions are presented in Section 5.

24

25 **2. Data**

26 In this section of the manuscript, the details of all wind and ocean surface current products utilized
27 are presented. We note that each of the presented products employs the oceanographic convention,

1 meaning a wind blowing toward the Northeast has a positive U component and a positive V
2 component.

3

4 *TAO/TRITON winds*

5 The TAO/TRITON array is a network of around 70 moored buoys that span most of the tropical
6 Pacific Ocean [McPhaden et al. 2010]. While TAO/TRITON data is archived at sub-daily
7 timescales our analysis uses daily averaged zonal and meridional winds. Wind speed was calculated
8 from this daily averaged data. The quality control flags provided were used to exclude lower-quality
9 or suspect-quality data (i.e., only the highest and default quality data were retained). Combined,
10 missing data and this strict quality control resulted in an average 68% of days (~6000 out of 8766
11 days) being available for comparison at each location, with a minimum of ~31% in the north
12 western Pacific and a maximum of greater than 90% in the central equatorial Pacific. Wind speed
13 measurements have an accuracy of ± 0.3 m/s. Measurements are taken at a height of 3.5-4m. These
14 surface winds were adjusted to a height of 10m assuming a neutral buoyancy and logarithmic
15 profile following the method of Atlas et al. [2011], to allow for comparison with the CCMP surface
16 winds.

17

18 *Cross-Calibrated Multi-Platform (CCMP) surface winds*

19 Daily averaged gridded ocean surface winds, calculated from 6-hourly data, of both the CCMP
20 version 1 [Atlas et al. 2011] and version 2 [Wentz et al. 2015] are utilized here. Both CCMP
21 versions provide the ocean surface winds on a 0.25° latitude and longitude grid. Version 1 covers
22 the period from 2nd July 1987 through until the 31st December 2011, while version 2 continues
23 through until the 30th July 2015. The surface winds are reported at 10m. CCMP winds are created
24 using a variational analysis method (see Atlas et al. 2011 for details), which combines surface
25 winds from satellites, all ship and buoy observations available from NCAR [Atlas et al. 2011], the
26 TAO/TRITON [McPhaden et al. 2010] and PIRATA [Bourles et al., 1998] arrays, along with a
27 background analysis/reanalysis wind product [Atlas et al. 2011]. These background winds are

1 selected from an analysis or reanalysis product due to their complete space-time coverage, and these
2 winds (provided at 6-hourly intervals) are utilised to provide a first guess of the estimated wind
3 field.

4
5 As such, CCMP surface winds reflect the observations available close to the analysis time, while
6 smoothly merging to the background analysis/reanalysis winds where observations are not available.
7 In terms of the proportion of satellite data utilised in the CCMP analysis, Atlas et al. [2011] report
8 that the approximately 25% of the global ocean was observed in a 6-hour window in the late 1980s
9 and this coverage gradually increased to its maximum of approximately 60% in 2000 at the 6-
10 hourly resolution, which has been maintained since. We do not expect major coverage differences
11 between version 1 and version 2 satellite coverage during the overlapping period, given that most of
12 the satellite data used is common to both products. This suggests that in any 6-hour period in the
13 post (pre) 2000 period, CCMP surface winds over approximately 40% (40-80%) of the global ocean
14 are only constrained by the background analysis/reanalysis winds at the 6-hourly resolution. If the
15 data gaps are randomly distributed, this also implies that at any location roughly 40% of the
16 temporally varying data are based on the background analysis/reanalysis winds at that temporal
17 resolution.

18
19 Version 1 of CCMP uses the surface winds from ten different satellites, all ship and buoy surface
20 wind observations, including the TAO/TRITON, PIRATA [Bourles et al., 1998] and RAMA
21 [McPhaden et al. 2009] arrays. The background winds are ECMWF operational analysis and ERA-
22 40 reanalysis [Atlas et al. 2011]. It is interesting to note that the ECMWF data switched from
23 reanalysis [Uppala et al. 2005] to analysis [sourced from ECMWF Tropical Ocean and Global
24 Atmosphere global advanced operational surface analysis] at the end of 1998 [Atlas et al. 2011],
25 meaning that background wind source model it is not dynamically consistent in time.

26

1 Version 2 of the CCMP winds were created using the same variational analysis method and satellite
2 winds, however, three additional satellite observation sources were incorporated in the more recent
3 period (ASCAT Metop-A, AMSR2, and GMI) to allow for the extension of the data to 2015 (of
4 these, only ASCAT Metop-A provides additional data prior to 2011). In addition, the satellite data
5 utilised in the version 2 synthesis was produced using consistent processing algorithm (RSS version
6 7 or above) and methodology (unlike version 1), and better quality control on the in situ
7 observations, which also included the TAO/TRITON, PIRATA, and RAMA arrays. Version 2 also
8 updated the background winds, using the higher resolution and consistently produced winds of the
9 continuous 0.25° ERA-Interim reanalysis [Dee et al. 2011].

10

11 *Background winds*

12 To better understand the role of background wind changes on the differences between the two
13 CCMP products, we also analyse daily averages of the background winds separately. The latter
14 include daily averages (calculated from 6-hourly data) of the, i) CCMP v2 background winds,
15 consisting of the ERA-Interim reanalysis at 0.25° resolution [Dee et al. 2011]; and ii) CCMP v1
16 background winds, consisting of the ERA-40 reanalysis between the period 1988-1998 [Uppala et
17 al. 2005] and the ECMWF operational analysis for the period between 1999-2011. The ECMWF
18 winds are on a 1.125 degree grid, while the ERA-40 reanalysis winds are available on a 2.5 degree
19 grid. Both the ECMWF and ERA-40 winds are linearly interpolated to a 0.25° grid for the following
20 comparison with CCMP winds.

21

22 *Satellite surface currents*

23 Here, we also utilise monthly mean surface currents of the Ocean Surface Current Analyses Real-
24 time (OSCAR), which spans the period from October 1992 [Bonjean and Lagerloef, 2002]. The 1°
25 gridded product is used. The OSCAR surface currents, which are representative of the averaged
26 currents over the upper 30m, are computed based on modified geostrophy and Ekman theories from
27 sea surface height (SSH), wind, and temperature [Bonjean and Lagerloef, 2002]. The SSH data are

1 derived from merged altimeter measurements. The wind products used are from QuikSCAT
2 scatterometer during 1999-2009 and ERA-Interim before and after the QuikSCAT period. Due to
3 the latency of the ERA-Interim product, NCEP operational analysis winds were used for latest few
4 months. This is the only broad-scale product of estimated surface currents available that includes
5 both the geostrophic and Ekman components. However, it is noted that i) the estimated meridional
6 currents do not compare very well with the TAO/TRITON meridional currents where
7 measurements are available [e.g., Johnson et al. 2007], and ii) the average currents in the top 30 m
8 have the potential to be quite different from the currents at the near surface of the ocean [e.g.,
9 Cronin and Kessler 2009; Wenegrat and McPhaden 2015]. Satellite winds are relative to the near
10 surface currents, the measurements of which are not available on broad scales even though there are
11 ongoing developments in remote sensing technologies to accomplish this.

12

13 **3. Methods**

14 3.1 Surface wind comparisons and statistical significance

15 Firstly, we compare the mean CCMP surface wind data with the mean from TAO/TRITON. When
16 comparing the gridded CCMP data with TAO/TRITON data, we selected the CCMP grid box that
17 encompasses a given TAO/TRITON mooring location. We note that this analysis was carried out
18 only when data from both the TAO/TRITON and CCMP wind data were available. We then seek to
19 understand the role of ocean surface currents in the mean differences between the CCMP products
20 and TAO/TRITON surface winds. To this end, we adjust the CCMP surface winds, which are
21 predominantly satellite observed relative winds, with the OSCAR ocean current estimates to obtain
22 an estimate of absolute winds. This adjustment is done by simply subtracting the zonal and
23 meridional ocean surface current estimate from the corresponding CCMP surface winds.

24

25 We also compare both versions of CCMP data on the CCMP grid, and here rather than focusing on
26 surface winds, we focus on the ocean dynamically relevant wind stress, wind stress curl and the y-
27 derivative of wind stress curl [e.g., Kessler 2003]. Wind stresses were calculated from the daily

1 surface wind data using the quadratic stress law: $(\tau_x, \tau_y) = C_d \rho_a (U, V) W$, where U , V are the zonal
2 and meridional surface wind velocities, respectively, W is the surface wind speed, $\rho_a = 1.2 \text{ kg m}^{-3}$ is
3 a reference atmospheric density, and $C_d = 1.5 \times 10^{-3}$ is the dimensionless drag coefficient. Wind
4 stress curl (utilised to calculate meridional Sverdrup transport) was calculated using the equation:
5 $\text{curl} = \delta\tau^y/\delta y - \delta\tau^x/\delta x$; and both the wind stress curl and its y -derivative (utilised to calculate zonal
6 Sverdrup transport) were calculated using centered differences. The statistical significance of
7 differences in the mean winds and wind stresses in Section 4 of this manuscript were calculated at
8 the 95% level using a two sample t -test with the reduced degrees of freedom as described in Zwiers
9 and Von Storch [1995].

10
11 We also compare the linear trends of the CCMP data with TAO/TRITON data, again by selecting
12 the CCMP grid box that encompasses a given TAO/TRITON mooring location and carrying out the
13 analysis only when data from both the TAO/TRITON and CCMP wind data were available. In
14 addition, we carry out a linear trend comparison with both versions of the CCMP data, utilizing the
15 data on the CCMP grid and comparing the ocean dynamically relevant wind stress and its
16 derivatives. The significance of linear trend differences in Section 4.2 of this manuscript was
17 defined when no overlap was found between the trend 95% confidence intervals of the respective
18 linear trends.

19

20 3.2 The Linear Shallow Water Model

21 To better understand the impact of differences in the linear trends of CCMP versions, we use a
22 linear reduced-gravity Shallow Water Model (SWM). The $1 \frac{1}{2}$ layer SWM is configured on the
23 CCMP 0.25° grid for the low- to midlatitude Global Ocean between 41°S – 41°N . The models upper
24 and lower model layers are separated by an interface that represents the pycnocline and applied
25 anomalous wind stresses drive motion in the upper layer, while the lower layer is assumed to be
26 motionless and infinitely deep. These upper-layer dynamics are described by the linear reduced
27 gravity form of the shallow water equations (McGregor et al. 2007; Holbrook et al. 2011). The

1 model also includes realistic continental boundaries that were calculated as the locations where the
2 bathymetric dataset of Smith and Sandwell [1997] has a depth less than the model mean
3 thermocline (H) of 300 m and a gravity wave speed of 2.8 m s^{-1} is utilized.

4

5 **4. Results**

6 4.1 Mean differences

7 We first compare the mean TAO/TRITON surface vector winds and wind speeds with both versions
8 of the CCMP products in an attempt to better understand the differences. Figure 1(a, d, g) displays
9 the mean TAO/TRITON zonal and meridional winds and wind speed, while the difference between
10 the CCMP v1 (CCMP v2) winds and the TAO/TRITON buoy winds is presented in Figure 1b, e,
11 and h (Figure 1 c, f and i). Both CCMP versions display significant mean trade wind differences
12 from the TAO-TRITON winds in some locations, with mean zonal trade winds that are generally
13 too weak in the eastern/central equatorial Pacific and too strong in the north, western and south-
14 western regions of the tropical domain (spatial correlation of 0.90 between CCMP version 1 and 2
15 spatial biases, Figure 1b and c). Both versions of the CCMP products also display a northward
16 mean meridional wind bias across much of the basin (spatial correlation of 0.80 between Figure 1e
17 and f), which implies overestimated southerly winds in the eastern Pacific and underestimated
18 northerly winds in the western Pacific relative to the TAO/TRITON winds. The CCMP wind speed
19 biases appear to be dominated by the zonal wind biases, which is supported by the spatial
20 correlation -0.97 between the two bias patterns (Figure 1b and h, and Figure 1c and i). Despite the
21 spatial similarity between mean differences of the two versions of the CCMP winds from TAO-
22 TRITON winds, the RMS difference of the CCMP v2 zonal, meridional and wind speed bias is
23 reduced by 25%, 25% and 11%, respectively, compared to CCMP v1 values of 0.26 m s^{-1} , 0.18 m s^{-1} ,
24 0.18 m s^{-1} , which suggests an improvement in the CCMP v2 bias when compared to CCMP v1.

25

26 It is clear that these CCMP – TAO/TRITON differences are relatively small compared to the mean
27 winds. This was unsurprising as both CCMP versions are also partially constrained with

1 TAO/TRITON observations. However, these biases suggest that there are differences in the
2 meridional gradient of the zonal winds, which will likely impact the wind stress curl, and may have
3 significant impacts if this data is used to force ocean model simulations [e.g., Kessler et al. 2003].
4 Thus, it is important to understand what underlies the differences.
5
6 As satellites represent the winds relative to the moving surface ocean (relative winds), it is
7 interesting to examine if the mean surface wind biases can be explained by the ocean surface
8 currents [e.g., Kelly et al. 2001]. Observed estimates of meridional surface currents are very small
9 (Figure 2d) compared to the mean meridional surface wind bias (Figure 1e and f), so have little
10 impact on the overall bias and its significance. The zonal surface currents (Figure 2a), however,
11 have a similar spatial structure (spatial correlation of -0.52 and -0.47 for CCMP versions 1 and 2
12 respectively) and magnitude to the zonal wind bias's of CCMP v1 and v2 (Figure 1 b and c). By
13 adjusting the CCMP surface winds with the OSCAR ocean current estimates to obtain an estimate
14 of absolute winds, we find that the bias in the equatorial east and south-east have largely changed
15 sign (from too weak to too strong) and in some locations this bias is stronger and more significant
16 than before (Figure 2b and c). There is also a clear weakening of the bias along 5°N, but the bias is
17 still significant in most locations (Figure 2b and c). However, adding a surface current based
18 correction to the CCMP winds has no noticeable effect on the overall CCMP-TAO/TRITON bias
19 (the CCMP v1 and v2 RMS difference remain unchanged at 0.26 m s⁻¹ and 0.19 m s⁻¹, respectively).
20 Similarly, there is only a moderate reduction in the bias using corrected CCMP wind estimates (12%
21 and 8% reduction in the RMS difference for CCMP version 1 and 2, respectively). Since OSCAR
22 currents represent the upper 30-m averages, whether the estimates of currents at the very near
23 surface (were they available) would make a larger difference remains to be seen. We are unable to
24 examine whether correction with TAO/TRITON observed 10m surface currents would result in
25 smaller biases as there is limited locations that have this data available for extended durations.
26 Furthermore, it is unclear how the inclusion of the TAO/TRITON measurements in the CCMP
27 surface winds (both directly and through the background reanalysis products) impacts these biases.

1 However, we could expect that this may act to reduce the difference between the products and this
2 may also lead to an overcorrection in some places when adjusting absolute winds (with ocean
3 surface currents) to relative winds.

4
5 It is also interesting to consider the differences between the two CCMP versions on the 0.25°
6 gridded region surrounding the TAO/TRITON array locations and what role the background wind
7 product plays in these differences. Here we focus on evaluating the zonal and meridional wind
8 stresses and wind speed due to their importance for ocean forcing and fluxes, respectively. CCMP
9 version 1 mean equatorial wind stresses are presented in Figure 3 (a, d, and g) along with the
10 version 1 and version 2 difference (version 1 minus version 2) in panels b, e and h. These
11 differences show that the version 2 zonal wind stresses are stronger in the eastern/central Pacific
12 and weaker in the western Pacific. The structure of the meridional wind stress differences show that
13 version 2 wind stresses are more southward in the central basin and more northward to the east and
14 west, but this difference is harder to interpret in terms of the mean. The spatial structure of the wind
15 speed differences are largely consistent with the zonal wind stress differences. We also calculated
16 similar differences between the background products used in each version of CCMP surface winds
17 (Figure 3c, f and i). The spatial agreement between the CCMP differences and the background
18 product differences are clear with spatial correlations of 0.65, 0.75, and 0.62, for the zonal,
19 meridional and wind speed components, respectively. It is also noted that the RMS difference
20 between the CCMP versions zonal stress, meridional stress and wind speeds ($1.9e^{-3} \text{ Nm}^{-3}$, $1.1e^{-3}$
21 Nm^{-3} , and $1.1e^{-1} \text{ m s}^{-1}$, respectively) are about 30-40% smaller than the corresponding background
22 product RMS differences. This is likely to be because the background fields are only utilised when
23 satellite observations are lacking.

24
25 Calculating the wind stress curl, and the y-derivative of wind stress curl (utilised to calculate zonal
26 Sverdrup transport) from both CCMP versions on their regular grid also reveals distinct differences
27 (Figure 4b and e). Again these differences are largely related to the background wind product

1 choice (Figure 4c and f), which is reflected by the spatial correlation of 0.79 between Figure 4b and
2 c and 0.60 between Figure 4 e and f. However, the CCMP version differences are relatively small
3 (approximately 1/5 of the magnitude) in comparison to the mean values (Figure 4a and d), which
4 means the zonal Sverdrup transport differences are subtle (Figure 5). Also clear from the
5 differences in CCMP wind stress curl (Figure 4) are the strong positive/negative anomalies
6 straddling most TAO/TRITON locations (denoted by X's) in both the mean and difference plots.
7 These signatures are also evident in the y-derivative of the wind stress curl (which is utilised to
8 calculate zonal Sverdrup transport), which has large localized differences collocated with the
9 TAO/TRITON locations. This is due to the inclusion of the TAO/TRITON data in the CCMP
10 products as it is not apparent in the background wind stress curl (Figure 4c and f). The reason for
11 these spurious curl anomalies may be related to the fact that the TAO/TRITON array absolute
12 winds (measured from a fixed location) are being merged with satellite derived relative winds
13 (relative to a moving ocean surface). This spurious curl in the CCMP versions around the
14 TOA/TRITON locations means that oceanic zonal Sverdrup transport differences (between the two
15 CCMP versions; Figure 5a and c) exhibit differences due to both, the incorporation of localized
16 absolute wind observations (i.e, TAO/TRITON data) and the differing background wind products
17 (Figure 5b and c).

18

19 4.2 Long term trends

20 Here we begin by comparing and contrasting the multi-decadal trends of the CCMP and
21 TAO/TRITON surface wind data over the overlapping period (1988-2011) at common locations.
22 The recent Pacific Trade wind acceleration is clear when examining the linear trend of the
23 TAO/TRITON zonal wind data (Figure 6a, d and g). The zonal wind trend implies an increase in
24 the easterlies by up to 3 m s^{-1} over the 24 year period in the southern and equatorial western Pacific,
25 which at some locations is comparable in magnitude to the mean zonal winds (Figure 1a). Perhaps
26 not surprisingly, as both CCMP versions also incorporate data from the TAO/TRITON array, the
27 changes in zonal and meridional wind components and the surface wind speeds appear to be largely

1 reproduced in both CCMP versions (Figure 6). As with the mean wind field, more independent
2 information can be obtained by comparing the linear trends of the two CCMP versions in the region
3 surrounding the TAO/TRITON locations. Here we again focus on evaluating the zonal and
4 meridional wind stresses and wind speed due to their importance for ocean forcing and fluxes,
5 respectively.

6

7 Again, the recent (1988-2011) trade wind acceleration is clear in the 0.25° gridded equatorial region
8 CCMP data (Figure 7a). The meridional wind stress trend is largely in a northward direction (Figure
9 7d), consistent with the TAO/TRITON trend (Figure 6d). The wind speed trend largely mirrors the
10 changes in zonal wind stress, except in the east Pacific where meridional winds appear to dominate
11 (Figure 7g). As expected, the equatorial region displays some significant differences between
12 CCMP versions (version 1 minus version 2). Version 2 zonal trends are smaller in the
13 central/eastern Pacific (Figure 7b), where the mean trade winds are stronger (Figure 2b), and larger
14 in the western where the mean trade winds are weaker. The version 2 meridional wind trends are
15 generally smaller south of the equator and larger north of the equator. In terms of wind speeds,
16 version 2 generally has weaker wind speed trends over large parts of the domain. We also
17 calculated the equivalent 1988-2011 trend differences from the background products used in each
18 version of CCMP surface winds (Figure 7c, f and i). The spatial agreement between the CCMP
19 differences and the background product differences is strong, and is summarized by the spatial
20 correlations of 0.89, 0.76, and 0.74, for the zonal, meridional wind stress and wind speed
21 components, respectively. As with the mean state differences, we again find that the RMS
22 difference between the CCMP versions are smaller than those of the background wind products
23 [RMS CCMP zonal stress, meridional stress and wind speed trend differences of 0.004 N m^{-2} , 0.003
24 N m^{-2} , and 0.22 m s^{-1} , respectively, which are 65%, 66% and 64% of the corresponding background
25 products RMS trend differences].

26

1 To assess the importance of these differences for ocean dynamics, we calculate the wind stress curl,
2 y-derivative of the wind stress curl and the zonal Sverdrup transport. It is again clear that the
3 inclusion of the TAO/TRITON data results in spurious wind stress curl anomalies in these derived
4 quantities (Figure 8. a, b, d and e). Again there are large-scale similarities between CCMP version
5 and background wind stress curl differences. However, the spurious curl anomalies introduced
6 around the TAO/TRITON locations reduces the spatial correlation between CCMP curl and CCMP
7 background curl differences (Figure 8b and c; correlation of 0.69), and consequently the CCMP curl
8 y-derivative and the CCMP background curl y-derivative differences (Figure. 8e and f; correlation
9 of 0.44).

10

11 To better understand the impact of these wind stress curl changes we forced a linear SWM
12 (described in the Section 3.2) with the two versions of CCMP and CCMP background product wind
13 stress trends to better understand the oceanic impact of these differences and their cause. The
14 CCMP v1 trend generates changes in the equatorial thermocline depth that are up to 25m after 24-
15 years of model integration (Figure 9a). Using the relationship between SWM thermocline depth and
16 sea surface height (SSH) described by Timmerman et al. [2010], this translates to an approximate
17 SSH rise of 7cm over 24-years. The RMS of the CCMP version 1 SWM thermocline depth after 24
18 years is 14.2m, while CCMP version thermocline differences are up to 5m after 24-years, the RMS
19 thermocline difference is 1.6m (~11% of the magnitude). The accompanying RMS of the version 1
20 trend surface currents are 2.6 cm s^{-1} zonally and 0.7 cm s^{-1} meridionally after 24 years (Figure 9d
21 and g), while the RMS version 1 and 2 surface current differences are 0.8 cm s^{-1} zonally and 0.4 cm
22 s^{-1} meridionally. Thus, these RMS zonal and meridional current differences are 30% and 55% of the
23 trend magnitudes, respectively. The meridional currents highlight most clearly the impact of the
24 artificial wind stress curl introduced around TAO/TRITON locations, which is most noticeable
25 along 220°E but also apparent elsewhere (Figure 9h) and in the zonal currents (Figure 9e; most
26 notably along 5°N and 220°E). In regards to the role of background state in these changes, the
27 similarity between the CCMP and CCMP background wind forced simulation differences are clear.

1 This similarity is underlined by spatial correlations between the CCMP and CCMP background
2 product thermocline differences of 0.62, zonal current differences of 0.72 and meridional currents
3 of 0.87. Again highlighting the role of the choice of background wind product in these differences.

4

5 4.3 Temporal changes in mean differences

6 It is also interesting to note that the CCMP mean differences (Figure 3) and the CCMP trend
7 differences (Figure 7) largely mirror each other (spatial correlation of -0.75, -0.55 and -0.44
8 between the zonal wind stress, meridional wind stress and wind speed respectively). This suggests
9 that the mean difference between products may be getting smaller through the more recent period.

10

11 Calculating the mean CCMP version differences in the pre- and post-2000 periods confirms this
12 (Figure 10) as the RMS difference for zonal wind stress, meridional wind stress and wind speed
13 reduces from 0.0029 Nm^{-2} , 0.0017 Nm^{-2} , 0.15 m s^{-1} in the pre-2000 period to 0.0012 Nm^{-2} , 0.0010
14 Nm^{-2} , 0.1 m s^{-1} in the post-2000 period, respectively. The mean CCMP background version
15 differences during the pre-2000 period (Figure 11a, c and e) reveals spatial patterns that bear many
16 similarities to the corresponding CCMP differences (Figure 10 a, c and e). This spatial similarity is
17 confirmed by high spatial correlations of 0.86, 0.91 and 0.81 between the CCMP and CCMP
18 background pre-2000 mean zonal stress, meridional stress and wind speed, respectively. This
19 suggests that much of the pre-2000 CCMP version differences are due to the choice of background
20 wind product.

21

22 In the post-2000 period, however, the differences between the CCMP versions are smaller (Figure
23 10b, d and f), as are the differences between CCMP version background winds (Figure 11b, d and f).
24 In fact, CCMP background RMS zonal wind stress, meridional wind stress and wind speed
25 difference reduces from 0.004 Nm^{-2} , 0.0023 Nm^{-2} , 0.24 ms^{-1} in the pre-2000 period to 0.0023 Nm^{-2} ,
26 0.0017 Nm^{-2} , 0.15 ms^{-1} in the post-2000 period (Figure 11). Spatial correlations of 0.28, 0.58 and
27 0.38 between the CCMP and CCMP background post-2000 mean zonal stress, meridional stress and

1 wind speed, respectively, indicate that the background winds have a much smaller impact on CCMP
2 versions post-2000. The reduced impact of background products during this most recent period is
3 consistent with both: i) the increased satellite coverage seen during this period [Atlas et al. 2011];
4 and ii) the smaller differences between background wind products during this period due to
5 improved assimilation and parameterization schemes with the shift to ECMWF analysis. It is noted
6 that the latter point would also benefit from the increased satellite coverage during this period as
7 this data is also assimilated into the reanalysis products. This underlines the importance of
8 sustaining satellite measurements of winds and suggests that if tropical Pacific satellite coverage
9 can be maintained or increased, the impact of background state will be smaller for future trends.

10

11 **5. Discussion and Conclusions**

12

13 In this manuscript we have examined two versions of synthesised multi-platform surface winds, the
14 Cross-Calibrated Multi-Platform (CCMP) surface winds, by comparing them with each other and
15 with observed winds from the TAO/TRITON array. This comparison was done to help to inform
16 the future development of the Tropical Pacific Observing System (TPOS), and better understand
17 factors influencing the skill of synthesised satellite wind products in the tropical Pacific. Several
18 studies have been carried out to evaluate the multi-satellite synthesized surface winds and
19 highlighted the skill of these products [Atlas. et al. 2011; Yu and Jin 2012]. However, only time
20 mean skill metrics were evaluated in these previous studies. Here we analyse the impact of: i)
21 surface currents on the mean CCMP and TAO/TRITON bias; ii) merging satellite observed relative
22 winds with TAO/TRITON observed absolute winds in CCMP; and iii) the impact of background
23 product winds on the mean and longer term trend of the different CCMP versions, as the two
24 versions of the CCMP products utilise different background wind products.

25

26 The mean differences between the satellite derived CCMP surface winds and those observed from
27 the TAO/TRITON array are relatively small (Figure 1). However, the spatial structure of this bias
28 leads to changes in the meridional gradient of the zonal winds and the wind stress curl, which may
29 have significant impacts on forced ocean model simulations forced with these datasets [e.g., Kessler

1 et al. 2003]. Thus, it is important to understand the cause of the differences. It is noted that the
2 analysis compares buoy winds at a single location to the gridded data provided by the satellite
3 retrieval averaged over the satellite footprints and it is unclear exactly how this mismatch in scale
4 impacts the comparison. However, it is reasonable to expect that the scale mismatch would only
5 cause smaller-scale, random errors as opposed to large-scale, systematic differences. The similarity
6 between the TAO/TRITON vs CCMP differences and the estimated near-surface currents suggests
7 that the differences are related to the fact that the moored array measures absolute winds while the
8 satellites (the wind data largely utilised in CCMP) measures the wind relative to the moving ocean.
9 This conclusion is consistent with previous studies [e.g., Kelly et al., 2001; Yu and Jin 2012].
10 Despite this, applying a correction for surface current effects using the OSCAR product
11 (representing top-30m average) does not reduce the overall bias between the mooring and satellite
12 surface winds. It remains unclear whether the lack of improvement is because: i) the uncorrected
13 mooring data has already been assimilated into CCMP; and/or ii) of errors/differences between the
14 OSCAR near surface currents and the actual surface currents [e.g., Johnson et al. 2007]. Also, the
15 fact that the biases are largest under the regions high rainfall bands (e.g., the South Pacific
16 Convergence Zone and the Intertropical Convergence Zone) raises questions about the role of the
17 satellite wind rain contamination [e.g., Milliff et al. 2004; O'Neill et al. 2015; Kilpatrick and Xie
18 2015] and the background wind data which is used to fill missing data gaps. Clearly, reducing
19 inconsistencies between estimated near-surface currents and wind differences will require: i) direct
20 measurements of currents at the near-surface either using in-situ and satellite platforms; and ii) a
21 better understanding and quantification of the impacts of rain on satellite winds in regions such as
22 the ITCZ and SPCZ across different time scales (e.g., seasonal, interannual, and decadal). These
23 results and uncertainties underline the importance of sustaining in-situ measurements, in particular
24 under the regional rainbands where removal of many moorings is currently proposed as part of
25 TPOS.
26

1 The differences in wind stresses and surface wind speeds between the two CCMP versions on the
2 0.25° grid surrounding the TAO/TRITON locations, while relatively small, have spatial structures
3 that are quantitatively similar to differences between the CCMP background wind products (Figure
4 3). This highlights the influence of the background wind product choice on the mean state of the
5 synthesized multi-platform winds. This analysis also reveals spurious anomalies in the mean wind
6 stress curl and its y-derivative (used for calculation of zonal Sverdrup transport) at the location of
7 the TAO/TRITON moorings (Figure 4). Similar features are also apparent in the analysis of the
8 multi-decadal trends (1988-2011) of CCMP winds. This suggests that the differences between in
9 situ and satellite surface winds need to be better understood and corrected prior to merging
10 TAO/TRITON winds with satellite observed relative winds.

11
12 We also compare the multi-decadal trends (1988-2011) of the CCMP and TAO/TRITON surface
13 wind data to better understand how accurately and consistently these trends are reproduced in the
14 CCMP data. We find that both versions of CCMP winds exhibit trends that are not significantly
15 different from TAO/TRITON at most mooring locations. This may in part stem from the inclusion
16 of the TAO/TRITON winds in the multi-platform product (Figure 6). As with the mean wind field,
17 we compare the trends of the two CCMP versions on the 0.25° grid surrounding the TAO/TRITON
18 locations, to gather more independent information. We find statistically significant differences
19 between the products (Figure 7). These differences have substantial impact on the oceanic response
20 derived from a shallow water model. In particular, the simulated RMS trend differences in the zonal
21 and meridional currents are respectively 30% and 54% of the size of the total trend response (Figure
22 8 and 9), which would presumably impact SST.

23
24 The linear trend differences are largely related to the choice of background wind product. As such,
25 careful consideration is required regarding the most appropriate reanalysis for background state
26 winds as there is considerable differences between the different products in their representation of
27 the mean, annual cycle, interannual variability and longer term trends [e.g., Wittenberg 2004;

1 McGregor et al. 2012]. This emphasizes the need for enhanced collaboration between the
2 observation and reanalysis communities in improving reanalysis wind products. One important
3 point to note here is that while the CCMP version trend differences are strongly related to
4 differences in the choice of background product, our results also show that the CCMP differences
5 have a smaller magnitude than those of the background products. As such, CCMP products with
6 differing background winds are likely to produce more consistent results when utilized to force
7 ocean models than what might be expected by background (reanalysis) products alone.

8

9 We also note that the CCMP mean differences (Figure 3) and the CCMP trend differences (Figure 7)
10 roughly mirror each other and show that the difference between the CCMP versions is significantly
11 reduced during the post-2000 period, when compared to the pre-2000 period. We find that the
12 CCMP version differences in the earlier period are largely related to background wind product
13 choice. In the post 2000 period, however, the differences between the products are smaller and there
14 is a much weaker spatial relationship with the background wind product. Potential causes for the
15 differences between the two periods are: i) the later period has higher rate of global satellite
16 coverage, meaning that the background wind products are utilized less; and ii) the background
17 product for CCMP v1 was also different during this period (see data set description in Section 2.2),
18 and it displayed smaller differences with the CCMP v2 background product. The latter point is
19 likely at least partially related to the former as satellite data is assimilated in the reanalysis. This
20 suggests that if tropical Pacific satellite coverage can be maintained, the impact of background state
21 will be smaller in the future. Conversely, potential future decreases in the tropical Pacific satellite
22 coverage would increase reliance on background state products and give them a greater influence
23 on trend estimates. Therefore, maintaining the current satellite wind measurements should form an
24 important and vital component of TPOS as it can be used to ensure the consistency of reanalysis
25 and synthesized wind products.

26

1 In summary, our study identified three important factors that influence the skill of synthesized wind
2 products in terms of mean and trend estimates. The first factor is the quality of the background
3 winds used in the synthesis. To address this issue effectively, enhanced collaborations among the
4 wind observation (both satellite and in-situ) community, reanalysis community, and synthesis
5 community is necessary. The second factor is the need to correct either the satellite-derived relative
6 winds or the in-situ observations of absolute winds prior to synthesizing data sets, such that both are
7 representing the wind from the same perspective. This requires a better understanding of what
8 causes of these wind differences, including the role of ocean surface currents and rain affected
9 satellite retrievals, both of which will be aided by future direct measurements of currents at the very
10 near surface proposed as part of the TPOS. This correction should also lead to enhanced
11 atmospheric reanalysis products. The third factor is that this and previous studies are limited by, is
12 the fact that there is no-independent in-situ data available to evaluate the wind products. This
13 limitation is because the in-situ data have been assimilated in producing atmospheric reanalysis that
14 are used as background winds for the synthesis products (e.g., CCMP and OSCAR), in addition to
15 the use of the in-situ winds in generating the CCMP synthesized satellite wind products. A
16 schematic displaying the current uses of the TAO/TRITON array are presented in Figure 12 to
17 illustrate these measurements are ingrained in the majority of surface wind products. This means
18 that while can state that the differences in CCMP and CCMP background is smaller in the post-
19 2000 compared to the pre-2000 period, we still cannot effectively address whether this recent period
20 is any closer to the in-situ observations. Sensitivity experiments withholding in-situ data in
21 reanalysis and synthesis surface winds are necessary as a first step so that the in-situ winds can be
22 retained for independent validation of the satellite based surface winds, which again points to the
23 need for enhanced collaborations among the observations, reanalysis, and synthesis communities.
24 This independent validation will allow us to better understand the strengths of current observational
25 network and better plan the future changes, and as such should be carried out prior to any
26 diminishment of the current observational network. The current uses of TAO/TRITON data also
27 highlights its true value, as it is utilised as a data source for many wind products and to constrain

1 and validate numerous others, while also providing the near-real time surface wind data extending
2 back multiple decades (to the arrays formation).

3

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1 **Figure captions:**

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6 respectively presented in (b), (e), and (h), while the mean difference between the satellite retrieved
7 CCMP v2 surface zonal wind, meridional wind and windspeed (CCMP v2 minus TAO/TRITON) at
8 each buoy location are respectively presented in (c), (f), and (i). Locations where the TAO/TRITON
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11 crosses.

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13 Figure 2: a), d) and g) display the mean OSCAR zonal, meridional and surface current speeds,
14 respectively. Panels b), e) and h) display the CCMP v1 bias's (compared to TAO/TRITON) when
15 the estimated currents are taken into account, while panels c), f) and i) display the CCMP v2 bias's
16 (compared to TAO/TRITON) when the estimated currents are taken into account. Black crosses
17 denote the locations that the CCMP surface winds still have a significant bias regardless of the
18 addition of surface currents, black plus signs are those regions that now display a significant bias
19 with the TAO/TRITON array due to the addition of these currents, while black dashes highlight the
20 regions that the addition of surface currents has acted to remove the significance of the mean bias.
21 Significance is calculated using a two-sample t-test, and determined at the 95% confidence level
22 using the reduced effective degrees of freedom of Zwiers and Von Storch [1995].

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27 (h), while the mean difference between the CCMP version background wind products zonal wind
28 stress, meridional wind stress and windspeed (CCMP v1 background minus CCMP v2 background)
29 are respectively presented in (c), (f), and (i).

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31 Figure 4: The time mean CCMP v1 (a) wind stress curl, and (g) its y-derivative. The mean
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37 Figure 5: Mean zonal Sverdrup transports calculated along 160°E from (a) both CCMP versions, (b)
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42 black X's. (b), (e), and (h) display the difference between the CCMP v1 and TAO/TRITON (CCMP
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44 (c), (f), and (i) display the difference between the CCMP v2 and TAO/TRITON (CCMP v2 minus
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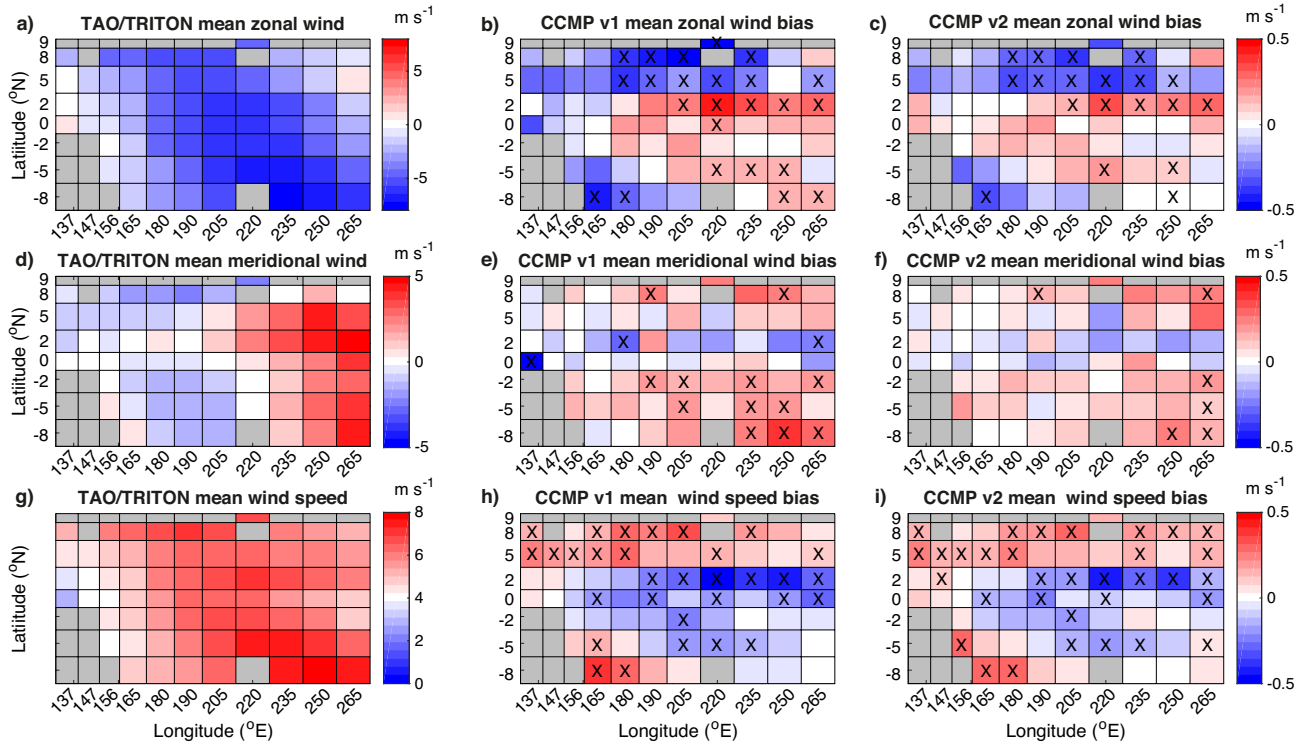
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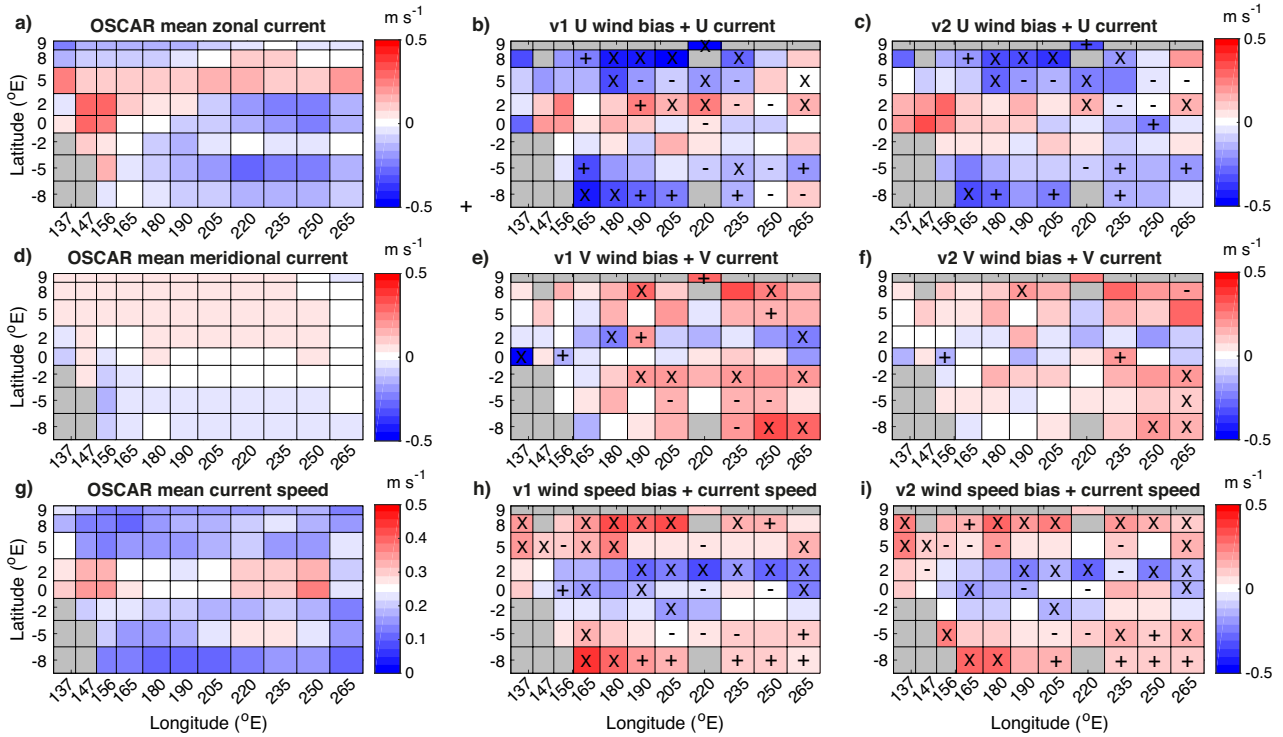
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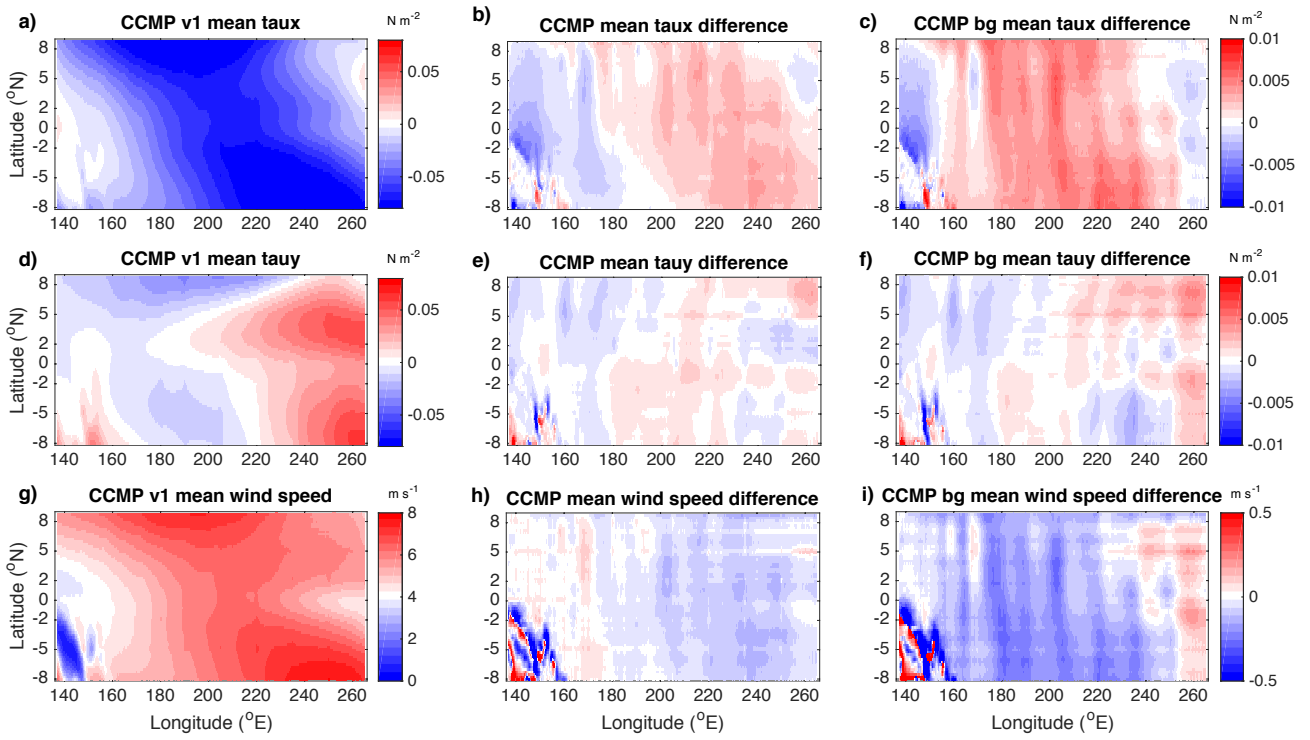
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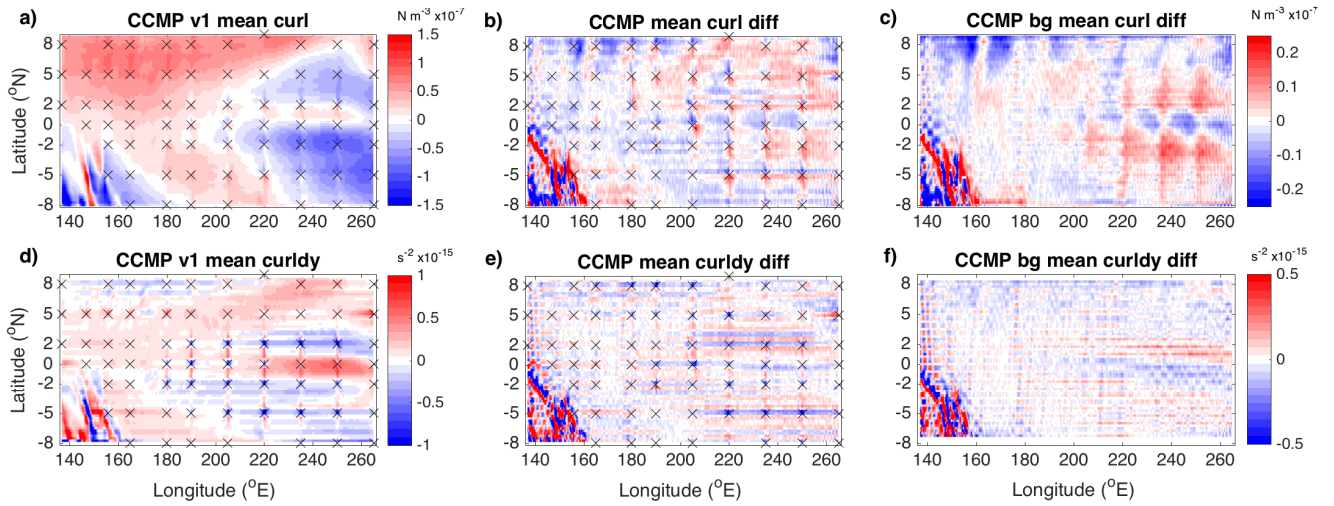
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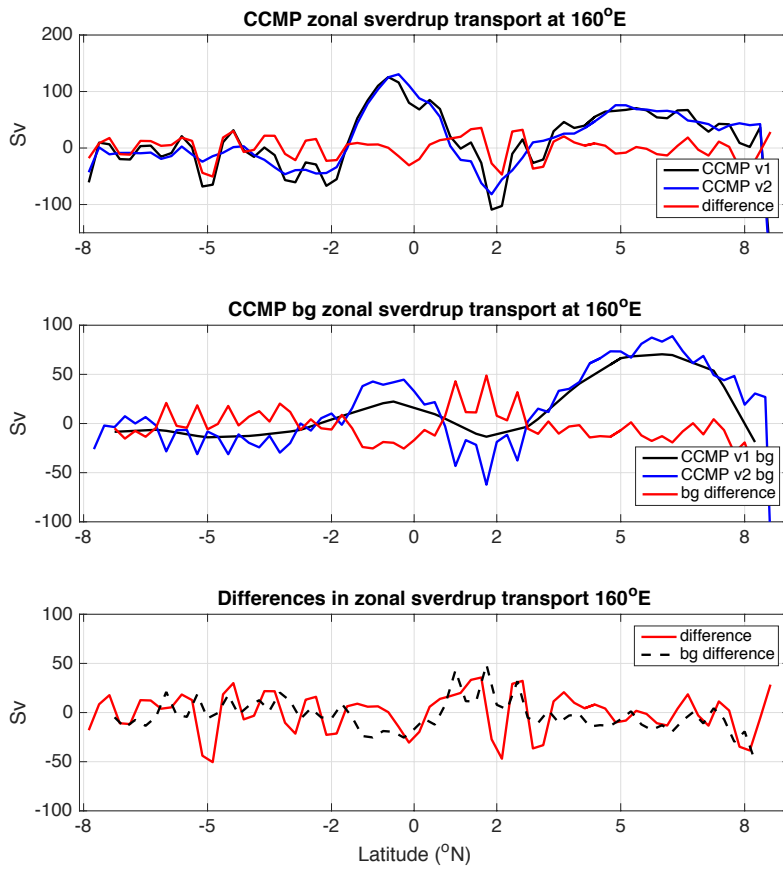
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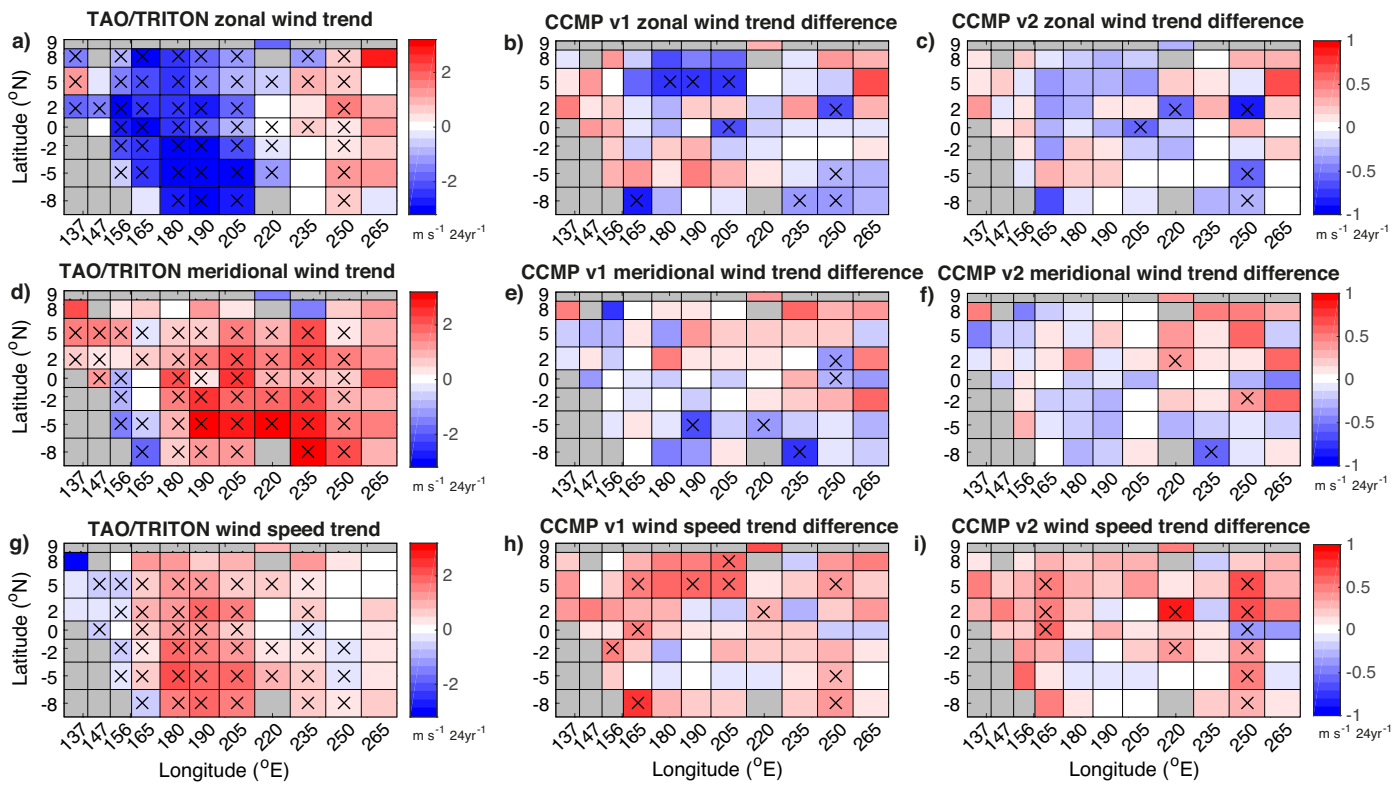
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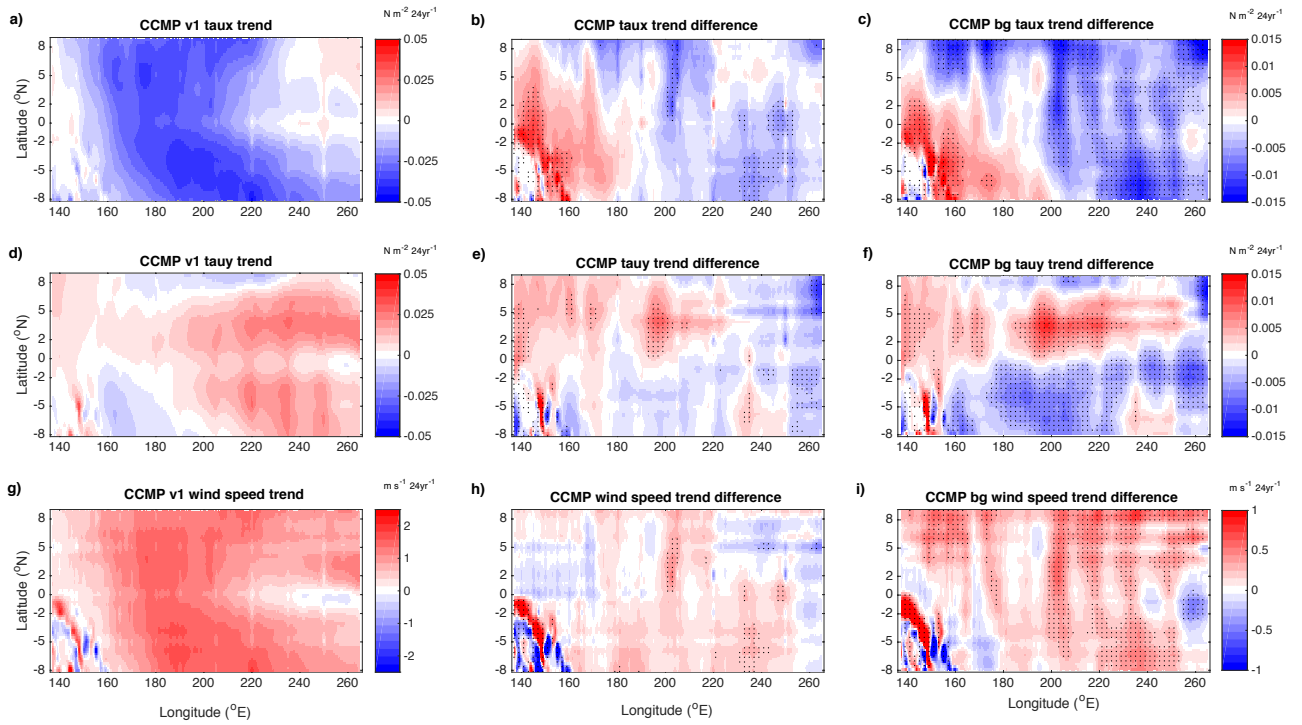
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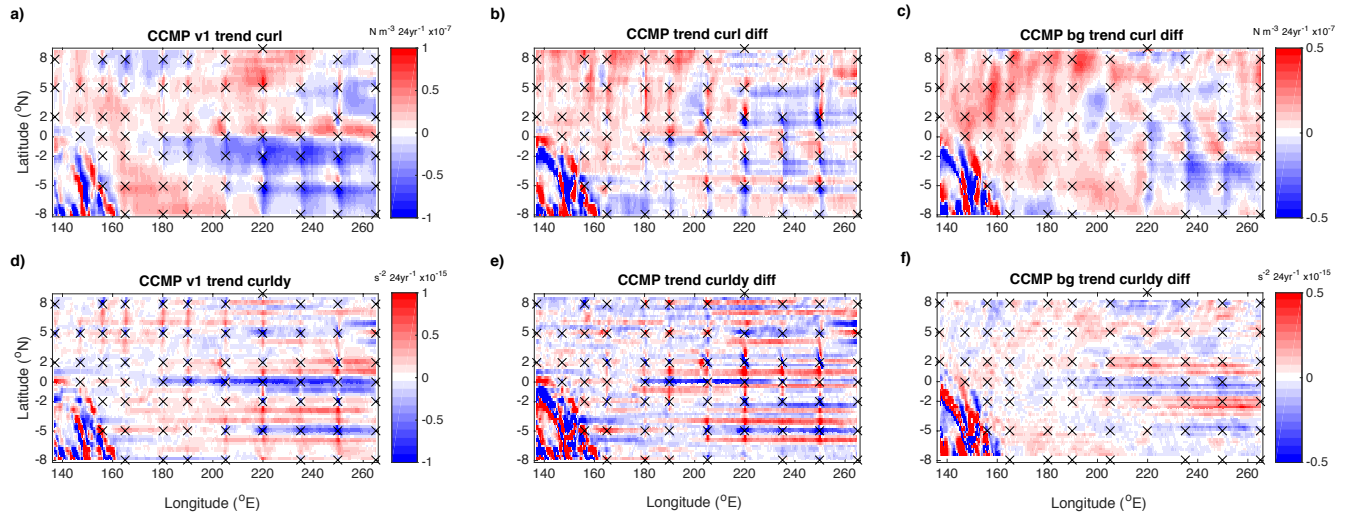
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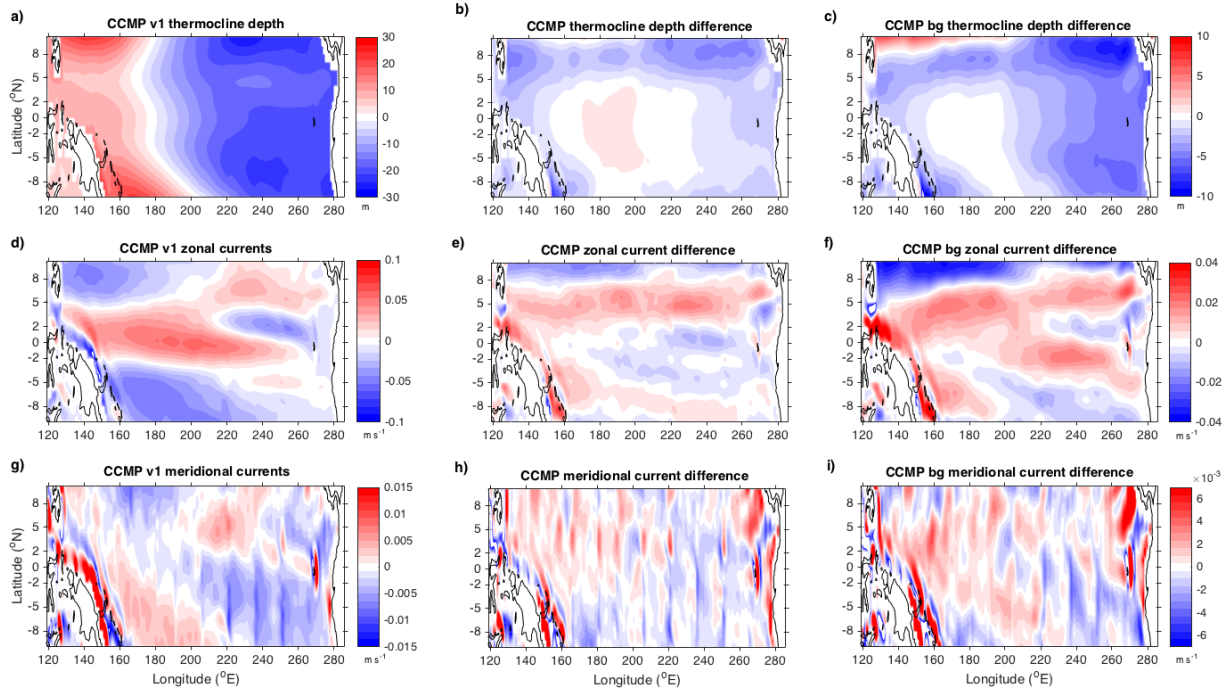
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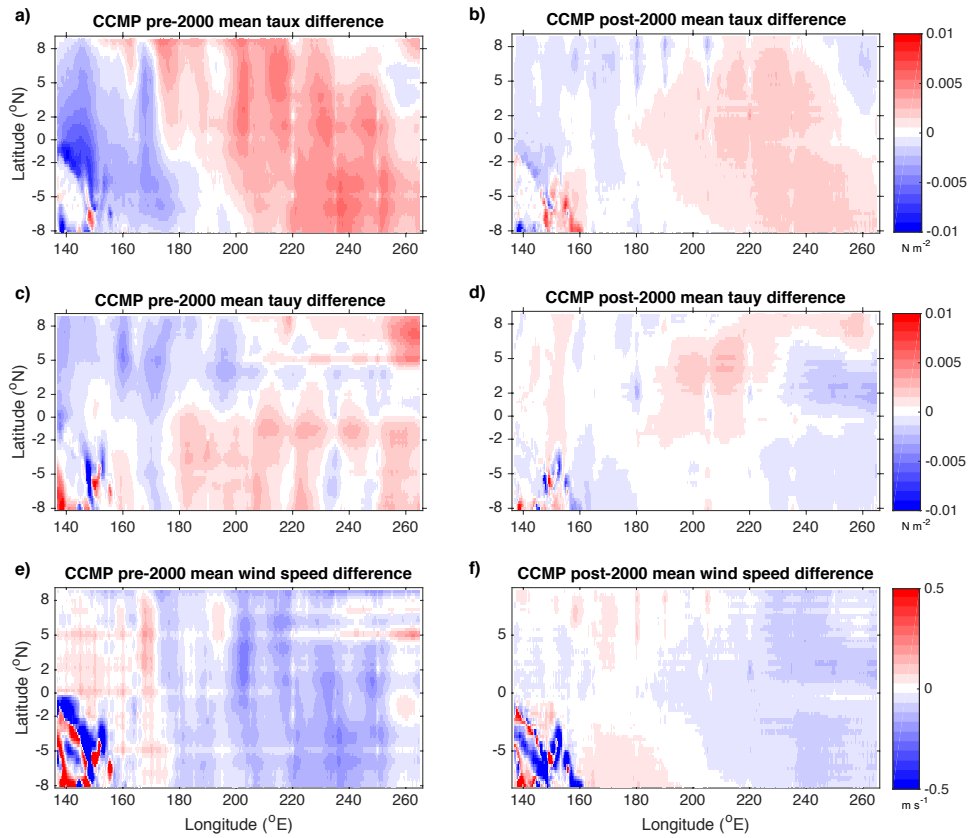
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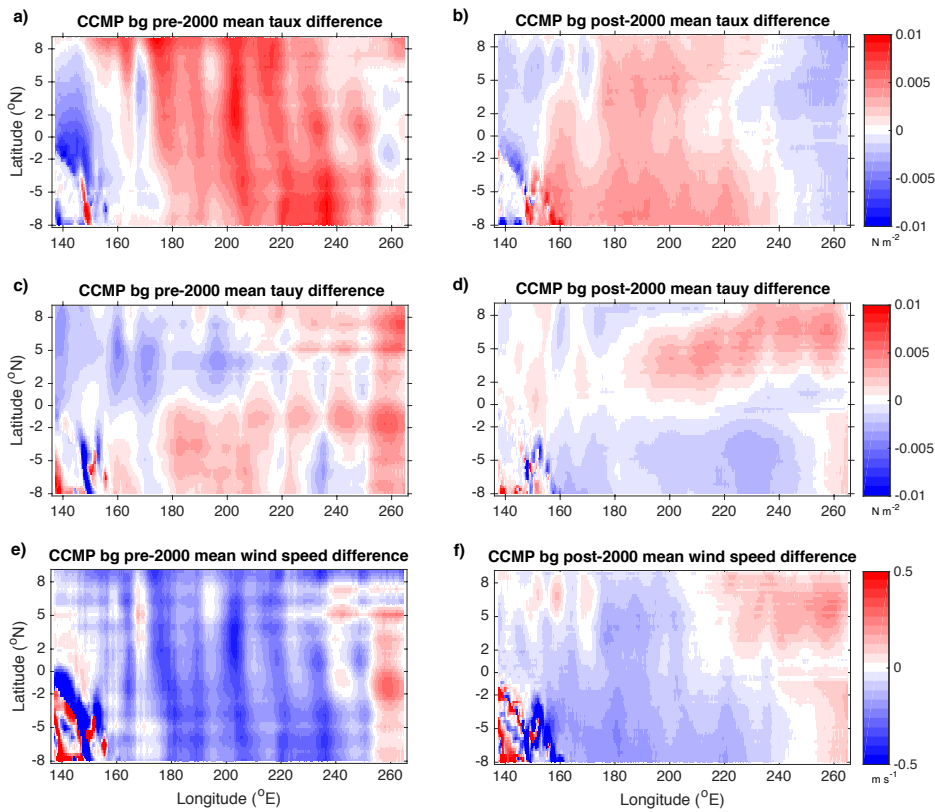
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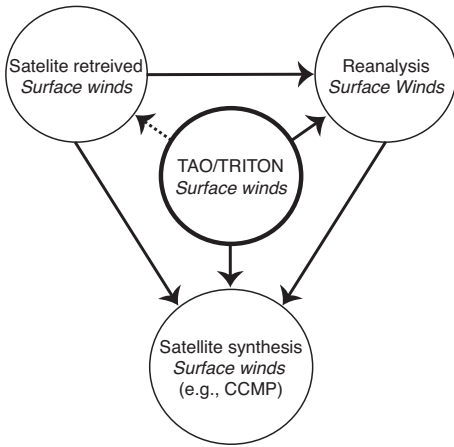
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